

## Item 1 Cover Page

A.

### **Jonathan Solo**

Live Oak Wealth Advisory Group, LLC

Brochure Supplement

Dated: June 10, 2021

Contact: Jonathan Solo, Chief Compliance Officer  
2245 Texas Drive, Suite 300

Sugar Land, Texas 77479

[www.liveoakwag.com](http://www.liveoakwag.com)

B.

**This brochure supplement provides information about Jonathan Solo that supplements the Live Oak Wealth Advisory Group, LLC brochure; you should have received a copy of that brochure. Please contact Jonathan Solo, Chief Compliance Officer, if you did *not* receive Live Oak Wealth Advisory Group, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Jonathan Solo is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Jonathan Solo was born in 1971. Mr. Solo graduated from The University of North Carolina at Charlotte, with a Bachelor of Science degree in Computer Science. Mr. Solo has been employed as an investment adviser representative of Live Oak Wealth Advisory Group, LLC since October of 2010. Mr. Solo has also been employed as an investment adviser representative of WhiteTip Capital, LLC since November 2017. From November 2017 to May 2019, Mr. Solo has been the Managing Member of QuantStrat Since Capital, LLC General Partner and Sponsor of QuantStrat Global Equity Fund, LP. From March 2008 to September of 2010, Mr. Solo was employed as a financial consultant of RBC Capital Markets Corporation, LLC. From October 2007 through March 2008, Mr. Solo was a financial consultant at RBC Dain Rauscher. Prior to that, from October 2007 until December 2012 he has been the owner of Forza Powersports and from October 2003 through October 2007, he was a VP of Sales and Marketing at LS Motorsports, LLC.

### **Item 3 Disciplinary Information**

Jon Solo has no reportable disciplinary history.

### **Item 4 Other Business Activities**

Jonathan Solo is a dual registered investment adviser representative with Whitetip Capital LLC. He may offer clients the advisory services of the other firm. This presents a conflict of interest based on the management fees charged and compensation received from the other firm. Clients always have the right to decide which firm's advisory services to accept and are not required to utilize the services recommended by any representative of Live Oak Wealth Advisory Group, LLC or in their capacity as a representative of another firm.

### **Item 5 Additional Compensation**

Mr. Solo does not receive any economic benefit from a non-advisory client for the provision of advisory services.

### **Item 6 Supervision**

Jonathan Solo is responsible for all supervision and formulation and monitoring of investment advice offered to clients. He can be reached at (281) 822-9200. Mr. Solo reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

### **Item 7 State-Registered Investment Advisers**

- A. Mr. Solo has never been involved in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding.
- B. Mr. Solo has never been the subject of a bankruptcy petition.

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A.

**William Kenneth Jobes, Sr.**

Live Oak Wealth Advisory Group, LLC

Brochure Supplement

Dated: June 10, 2021

Contact: Jonathan Solo, Chief Compliance Officer

2245 Texas Drive, Suite 300

Sugar Land, Texas 77479

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**This brochure supplement provides information about William Kenneth Jobes, Sr. that supplements the Live Oak Wealth Advisory Group, LLC brochure; you should have received a copy of that brochure. Please contact Jonathan Solo, Chief Compliance Officer if you did *not* receive Live Oak Wealth Advisory Group, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about William Kenneth Jobes is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

William Kenneth Jobes was born in 1942. Mr. Jobes graduated from Texas Tech, with a Bachelor of Arts degree in Political Science and Economics. Mr. Jobes has been employed as an investment adviser representative of Live Oak Wealth Advisory Group, LLC since October of 2010. From March 2008 to September of 2010, Mr. Jobes was employed as a financial consultant of RBC Capital Markets Corporation. Prior to that, from January 1998 through March 2008, Mr. Jobes was a Representative at Dain Rauscher Incorporated.

## Item 3 Disciplinary Information

Mr. Jobes has no reportable disciplinary history.

#### **Item 4 Other Business Activities**

- A. Mr. Jobs is not actively engaged in any other investment-related businesses or occupations.
- B. **Licensed Insurance Agent.** Mr. Jobs, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Jobs to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Jobs that a client purchase an insurance commission product presents a conflict of interest, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Jobs. Clients are reminded that they may purchase insurance products recommended by LOWAG through other, non-affiliated insurance agents. **The Firm's Chief Compliance Officer, Jonathan Solo, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

#### **Item 5 Additional Compensation**

Mr. Jobs does not receive any economic benefit from a non-advisory client for the provision of advisory services.

#### **Item 6 Supervision**

Jonathan Solo, is responsible for all supervision and formulation and monitoring of investment advice offered to clients by Mr. Jobs. He can be reached at (281) 822-9200. Mr. Solo reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

#### **Item 7 State-Registered Investment Advisers**

- A. In 2010, Mr. Jobs was found liable in an arbitration alleging damages in excess of \$2,500 involving an investment and/or an investment-related activity.
- B. Mr. Jobs has never been the subject of a bankruptcy petition.

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### **John Robert Whittington**

Live Oak Wealth Advisory Group, LLC

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Dated: June 10, 2021

Contact: Jonathan Solo, Chief Compliance Officer

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**This brochure supplement provides information about John Robert Whittington that supplements the Live Oak Wealth Advisory Group, LLC brochure; you should have received a copy of that brochure. Please contact Jonathan Solo, Chief Compliance Officer if you did *not* receive Live Oak Wealth Advisory Group, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about John Robert Whittington is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

John Robert Whittington was born in 1952. Mr. Whittington graduated from Texas State University, with a Bachelor of Science degree in Political Science and Geography. Mr. Whittington has been employed as an investment adviser representative of Live Oak Wealth Advisory Group, LLC since June of 2021. From December 2009 to June of 2021, Mr. Whittington was employed as a financial advisor with Bank of America.

## Item 3 Disciplinary Information

Mr. Whittington has no reportable disciplinary history.

**Item 4 Other Business Activities**

- A. Mr. Whittington is not actively engaged in any other investment-related businesses or occupations.

**Item 5 Additional Compensation**

Mr. Whittington does not receive any economic benefit from a non-advisory client for the provision of advisory services.

**Item 6 Supervision**

Jonathan Solo is responsible for all supervision and formulation and monitoring of investment advice offered to clients by Mr. Whittington. He can be reached at (281) 822-9200. Mr. Solo reviews all employee personal securities transactions on a quarterly basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

**Item 7 State-Registered Investment Advisers**

- A. Mr. Whittington has never been involved in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding.
- B. Mr. Whittington has never been the subject of a bankruptcy petition.